



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/052,306	01/18/2002	Yumiko Kawano	2285/51302	8383
23911	7590	02/28/2003		
CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300			EXAMINER KIELIN, ERIK J	
			ART UNIT 2813	PAPER NUMBER //
			DATE MAILED: 02/28/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	10/052,306	KAWANO ET AL.	
Period for Reply	Examiner	Art Unit	
	Erik Kielin	2813	
<p>-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --</p>			
<p>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.</p>			
<ul style="list-style-type: none"> - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). 			
Status			
<p>1)<input checked="" type="checkbox"/> Responsive to communication(s) filed on <u>13 February 2003</u>.</p>			
<p>2a)<input type="checkbox"/> This action is FINAL. 2b)<input checked="" type="checkbox"/> This action is non-final.</p>			
<p>3)<input type="checkbox"/> Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</p>			
Disposition of Claims			
<p>4)<input checked="" type="checkbox"/> Claim(s) <u>1-37</u> is/are pending in the application.</p>			
<p>4a) Of the above claim(s) _____ is/are withdrawn from consideration.</p>			
<p>5)<input type="checkbox"/> Claim(s) _____ is/are allowed.</p>			
<p>6)<input type="checkbox"/> Claim(s) _____ is/are rejected.</p>			
<p>7)<input type="checkbox"/> Claim(s) _____ is/are objected to.</p>			
<p>8)<input checked="" type="checkbox"/> Claim(s) <u>1-37</u> are subject to restriction and/or election requirement.</p>			
Application Papers			
<p>9)<input type="checkbox"/> The specification is objected to by the Examiner.</p>			
<p>10)<input type="checkbox"/> The drawing(s) filed on _____ is/are: a)<input type="checkbox"/> accepted or b)<input type="checkbox"/> objected to by the Examiner.</p>			
<p> Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).</p>			
<p>11)<input type="checkbox"/> The proposed drawing correction filed on _____ is: a)<input type="checkbox"/> approved b)<input type="checkbox"/> disapproved by the Examiner.</p>			
<p> If approved, corrected drawings are required in reply to this Office action.</p>			
<p>12)<input type="checkbox"/> The oath or declaration is objected to by the Examiner.</p>			
Priority under 35 U.S.C. §§ 119 and 120			
<p>13)<input type="checkbox"/> Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</p>			
<p> a)<input type="checkbox"/> All b)<input type="checkbox"/> Some * c)<input type="checkbox"/> None of:</p>			
<p> 1.<input type="checkbox"/> Certified copies of the priority documents have been received.</p>			
<p> 2.<input type="checkbox"/> Certified copies of the priority documents have been received in Application No. _____.</p>			
<p> 3.<input type="checkbox"/> Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</p>			
<p> * See the attached detailed Office action for a list of the certified copies not received.</p>			
<p>14)<input type="checkbox"/> Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).</p>			
<p> a)<input type="checkbox"/> The translation of the foreign language provisional application has been received.</p>			
<p>15)<input type="checkbox"/> Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</p>			
Attachment(s)			
<p>1)<input type="checkbox"/> Notice of References Cited (PTO-892)</p>		<p>4)<input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____</p>	
<p>2)<input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)</p>		<p>5)<input type="checkbox"/> Notice of Informal Patent Application (PTO-152)</p>	
<p>3)<input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____</p>		<p>6)<input type="checkbox"/> Other: _____</p>	

DETAILED ACTION

This action responds to Applicant's Letter regarding starting the time period (Paper No. 10, filed 13 February 2003).

For the reasons indicated in Applicant's letter, the action is being re-mailed and the time period for response, re-started.

The action is repeated herein for Applicant's convenience.

Election/Restrictions

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-16 and 26-34, drawn to a method of manufacturing a semiconductor device, classified in class 438, subclass 677.
 - II. Claims 17-25 and 35-37, drawn to an apparatus, classified in class 118, subclass 719.

The inventions are distinct, each from the other because of the following reasons:

2. Inventions I and II are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, the process as claimed can be practiced by another materially different apparatus, such as a single chamber apparatus.

3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
4. Because these inventions are distinct for the reasons given above and the search required for Group I is not required for Group II, restriction for examination purposes as indicated is proper.
5. This application contains claims directed to the following patentably distinct species of the claimed invention:

Should Applicant elect the invention of Group I, then one of the following species must be elected:

I-A. Drawn to a method of treating the substrate to prevent nuclei growth using a halogen prior to coating with metal having bumps. (It appears that claims 3, 4, and 12 read-on this species.)

I-B. Drawn to a method of treating the substrate to prevent nuclei growth using bonding of groups made of C and H to the substrate using HMDS, prior to coating with metal having bumps. (It appears that claims 5-7 and 13 read-on this species.)

I-C. Drawn to a method of treating the substrate to prevent nuclei growth using bonding of groups made of C and H to the substrate using ethanol, prior to coating with metal having bumps. (It appears that claims 5, 8, and 10 read-on this species.)

I-D. Drawn to a method of treating the substrate to prevent nuclei growth using bonding of groups made of C and H to the substrate using acetone, prior to coating with metal having bumps. (It appears that claims 5, 9, and 11 read-on this species.)

Currently, claims 1, 2, 14, and 26-28 are generic to the invention of Group I.

Should Applicant elect the invention of Group II, then one of the following species must be elected:

II-A. Drawn to an apparatus for treating the substrate to prevent nuclei growth using a halogen prior to depositing a metal layer with bumps. (It appears that claims 18-20 and 23 read-on this species.)

II-B. Drawn to a apparatus for treating the substrate to prevent nuclei growth using bonding of groups made of C and H to the substrate, prior to depositing with metal having bumps. (It appears that claims 24 and 25 read-on this species.)

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 17, 21, 22, and 35-37 are generic to the invention of Group II.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

6. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Erik Kielin whose telephone number is 703-306-5980. The examiner can normally be reached on 9:00 - 19:30 on Monday through Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Carl Whitehead, Jr., can be reached at 703-308-4940. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9318 for regular communications and 703-872-9319 for After Final communications.

Art Unit: 2813

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.



Erik Kielin

February 27, 2003